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Certified Copy of Priority Document(s) Reply to Missing Parts/ Incomplete Application Reply to Missing Parts under 37 CFR1.52 or 1.53	It is believed that no fees are due in this matter. However, if it is that fees are due, the Commissioner is authorized to debit Deposit 502622 for the required fees.						
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PATENT APPLICATION

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ATTORNEY DOCKET NO. 35010/097

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Allan L. Samson et al.

Application No.: 09/489,864

Group No.: 2134

Filed: 01/24/2000

Examiner: Simitoski, Michael J.

For: SYSTEM FOR PREVENTING TAMPERING WITH SIGNAL CONDITIONER

REMOTE FROM A HOST SYSTEM

MAIL STOP APPEAL BRIEF - PATENTS COMMISSIONER FOR PATENTS P. O. Box 1450 Alexandria, VA 22313-1450

## AMENDED BRIEF ON APPEAL

#### INTRODUCTION

Pursuant to the provisions of 37 CFR § 1.191 et seq., Applicants hereby appeal to the Board of Patent Appeals and Interferences (the "Board") from the Examiner's final rejection dated May 6, 2004.

A notice of appeal was previously filed. An appeal brief was filed on November 1, 2004.

A Notification of Non-Compliant Appeal Brief was mailed on April 5, 2005. An amended Appeal Brief was filed on April 13, 2005.

An Order Returning Undocketed Appeal To Examiner was received by Applicants on March 1, 2006, specifying that the Appeal Brief was still non-compliant under 37 CFR § 41.37(c). Applicants supplied a further Amended Appeal Brief fully complying with the Order, filed on March 10, 2006.

An Order Returning Undocketed Appeal To Examiner was mailed on November 3, 2006, specifying that the Appeal Brief was still non-compliant under 37 CFR § 41.37(c)(1)(v). Applicants herein supply a further Amended Appeal Brief fully complying with the Order

This amended brief on appeal is being filed in compliance with 37 CFR § 41.37. The requisite fee was previously supplied with the originally filed Appeal Brief.

### **REAL PARTY IN INTEREST**

The entire interest in the present application has been assigned to Micro Motion, Inc. as recorded at Reel 010522, Frame 0192.

#### **RELATED APPEALS AND INTERFERENCES**

There are no related appeals or interferences.

## STATUS OF CLAIMS

Claims 1-44 (all claims) are pending.

Claims 1-44 have been finally rejected.

Claims 1-44 are on appeal.

## STATUS OF AMENDMENTS

There are pending amendments. Applicants filed a response after final on June 24, 2004, amending the claims. An Advisory Action dated August 18, 2004 was issued, with the Advisory Action maintaining the final rejection. In addition, the Advisory Action stated that the submitted claim amendments were not entered because the amendments would require further consideration and/or search and that the amendments did not place the application in better form for appeal. Applicants filed a response to the Advisory Action on September 7, 2004, stating that the submitted claim amendments did not require further consideration and/or

search, as the submitted claim amendments did not differ significantly from the claims as originally filed.

#### SUMMARY OF CLAIMED SUBJECT MATTER

A system for preventing tampering with signal conditioning circuitry 110/701 in electronics that determines a parameter from signals received from sensors (see FIG. 1 and page 5, lines 28-31) comprises a host system 100/700 that receives data from and sends data to said signal conditioning circuitry 110/701, a processing unit 102/200/750 in said host system 100/700 (see page 6, lines 6-14), and a memory 250 connected to said processing unit 102/200/750 (see FIG. 2 and see page 6, line 31 to page 7, line 9). The host system 100/700 further comprises instructions (see page 6, line 33 to page 7, line 9) for directing said processing unit 102/200/750 in said host system 100/700 to periodically transmit a request for authentication information from said signal conditioning circuitry 110/701, receive said authentication information from said signal conditioning circuitry 110/701 in response to said request, compare said authentication information with initial information, and signal a tampering condition in the signal conditioning circuitry 110/701 in response to said authentication not being equal to said initial information (see FIG. 3 and see page 8, lines 1-22). The host system 100/700 further comprises a media 204 readable by said processing unit 102/200/750 for storing said instructions (see page 7, lines 6-9).

Meter electronics 20 for a Coriolis flowmeter 5 (see FIG. 6 and see page 9, lines 13-25) that detects possible tampering (see FIG. 1, and see page 5, lines 28-31). The meter electronics 20 comprises a host system 100/700 that receives parameter signals indicating properties of a material flowing through said Coriolis flowmeter 10 from said signal conditioner 110/701 and supplies power to signal conditioner 110/701 remote from said host system 100/700 and communicatively connected to said host system 100/700 (see page 11, lines 14-16). The signal conditioner 110/701 receives pick-off signals from sensors 605/605' affixed to said Coriolis flowmeter 10 and generates said parameter signals from said pick-off signals (see page 11, lines 18-24). The meter electronics 20 further comprises a processing unit 102/200/750 in said host

system 100/700, a memory 250 connected to said processing unit 102/200/750 in said host system 100/700, and instructions (see page 6, line 33 to page 7, line 9. The instructions direct said processing unit 102/200/750 in said host system 100/700 to periodically transmit a request for authentication information to said signal conditioner 110/701, receive said authentication information from said signal conditioner 110/701 in response to said request, compare said authentication information with initial information, and signal a tampering condition in the signal conditioning circuitry 110/701 in response to said authentication information not being equal to said initial information (see page 12, lines 1-3, see FIG. 3 and page 8, lines 1-22, and see FIG. 5 and page 9, lines 5-12). The meter electronics 20 further comprises a media 204 readable by said processing unit 102/200/750 for storing said instructions (see page 7, lines 6-9).

A Coriolis flowmeter 5 having tamper resistant meter electronics 20 comprises at least one flow tube 603A/603B through which material flows, a driver 604 affixed to said at least one flow tube 603A/603B that vibrates said at least one flow tube 603A/603B as said material flows through said at least one flow tube 603A/603B, and sensors 605/605' affixed to at least two different points of said at least one flow tube 603A/603B to generate sensor signals indicating vibrations of said at least one flow tube 603A/603B at said at least two different points (see FIG. 6 and see page 9, lines 13-25). The Coriolis flowmeter 5 further comprises a signal conditioner 110/701 that transmits a drive signal to said driver 604 (see page 11, lines 6-11), receives said sensors signals, and generates parameter signals from said sensors signals wherein said parameter signals indicate a property of said material (see page 11, lines 18-27). The Coriolis flowmeter further comprises a host system 100/700 that provides power to said signal conditioner 110/701 and receives said parameter signals from said signal conditioner 110/701 (see page 11, lines 14-16), a processing unit 102/200/750 in said host system 100/700, a memory 250 connected to said processing unit 102/200/750 in said host system 100/700, and instructions (see page 6, line 33 to page 7, line 9). The instructions direct said processing unit 102/200/750 in said host system 100/700 to periodically transmit a request for authentication information to said signal conditioner 110/701, receive said authentication information from said signal conditioner 110/701 in response to said request, compare said authentication information with initial information, and signal a tampering condition in the signal conditioning circuitry 110/701 in

response to said authentication information not being equal to said initial information (see FIG. 3 and see page 8, lines 1-22). The Coriolis flowmeter 5 further comprises a media 204 readable by said processing unit 102/200/750 for storing said instructions (see page 7, lines 6-9).

A method for preventing tampering with signal conditioning circuitry 110/701 in a system comprises the steps of periodically transmitting a request for authentication information from a host system 100/700 to said signal conditioner 110/701, receiving said authentication information from said signal conditioning circuitry 110/701 in response to said request, comparing said authentication information with initial information, and signaling a tampering condition in the signal conditioning circuitry 110/701 in response to said authentication information not being equal to said initial information (see FIG. 3 and see page 8, lines 1-22).

This invention relates generally to a system for preventing tampering with a signal conditioner 110 remote from a host system 100 (see FIG. 1 and page 6, lines 2-3 and lines 6-15). The signal conditioner 110 is circuitry that receives signals from sensors 605 and 605' (see FIG. 6) and converts the signals to parameter signals that indicate properties of a material (see page 3, lines 9-12). An example of a system parameter is a property of a material that the sensors 605 and 605' are detecting (see page 3, lines 31-32). The sensors 605 and 605' may be attached to any type of device (see page 5, lines 32-33).

The signal conditioner 110 stores some manner of authentication data, such as calibration and configuration data as well as a unique identification (see page 3, lines 15-20). The authentication data comprises data that generally does not change over time (see page 8, lines 2-4). Therefore, the authentication data can comprise one or more of the identification, calibration, and configuration data.

The host system 100 is a processing unit that executes applications which provide the tamper proof system in accordance with this invention (see page 3, lines 15-16). The host system 100 supplies power to the signal conditioner 110 and receives the parameter signals from the signal conditioner 110 (see FIG. 1 and page 3, lines 11-12).

In order to prevent tampering, the host system 100 periodically transmits a request to the signal conditioner 110 for authentication data of the signal conditioner 110 (see FIG. 3 and page 8,

lines 1-24). The signal conditioner 110 receives the request and reads the authentication data. The authentication data is then transmitted to the host system 100. The host system 100 stores the received information in a memory to provide an audit trail. The host system 100 may also compare the received authentication information to initial authentication information stored in the host system 100. If the current authentication data does not match the initial information, the host system 100 may generate an error signal. The error signal indicates possible tampering, or can indicate some other type of error. In response to the error signal, the host system 100 may disable the system and/or cease operation of the system. The ceasing of operation prevents any potentially false reading from being used, such as false, corrupted, or improperly calibrated parameter signals.

The authentication information can be checked periodically to insure against tampering. Periodically means that the process may be executed at a set time interval, after a batch of a predetermined number of measurements are taken, or at random time intervals (see page 7, lines 29-32).

The host system 100 can obtain the initial information in the following manner. The host system 100 transmits an initialize request to the signal conditioning circuitry for the authentication information in response to detecting a signal conditioning circuitry being connected to the host system 100 (see FIG. 5 and page 9, lines 3-12). The host system 100 then receives the authentication information from the signal conditioning circuitry and stores the authentication information as the initial information in the memory. The record of the authentication information can include a time stamp indicating when the authentication information is received (see page 5, lines 3-4).

## GROUNDS OF REJECTION TO BE REVIEWED ON APPEAL

- 1. Whether claims 1, 12, 23, and 34 comply with the written description requirement under 35 U.S.C. § 112, first paragraph.
- 2. Whether claims 1, 12, 23, and 34 are indefinite under 35 U.S.C. § 112, second paragraph.

- 3. Whether claims 1-3, 8, 9, 34-36, 41, and 43 are anticipated under 35 U.S.C. § 102(b) over U.S. Patent 4,388,690 (Lumsden).
- 4. Whether dependent claims 4-7, 10-12, 15-18, 21-23, 26-29, 32, 33, 37-40, 42, and 44 are obvious under 35 U.S.C. § 103(a) over U.S. Patent 4,388,690 (Lumsden) in view of various combinations of Leigh-Monstevens et al., Barger et al., Sipin et al., Kuo et al., and Oyer.

#### **ARGUMENT**

### OUTLINE

- I. Summary of the brief on appeal.
- II. Summary of the requirements for prima facie anticipation and obviousness.
- III. Discussion of the § 112, first paragraph rejection of claims 1, 12, 23, and 34.
- IV. Discussion of the § 112, second paragraph rejection of claims 1, 12, 23, and 34.
- V. Discussion of the § 102(b) anticipation rejection of claims 1-3, 8, 9, 34-36, 41, and 43.
- VI. Discussion of the various § 103(a) obviousness rejections of claims 4-7, 10-12, 15-18, 21-23, 26-29, 32, 33, 37-40, 42, and 44.

#### I. Summary of the brief on appeal

- A. The 35 U.S.C. § 112, first paragraph rejection of claims 1, 12, 23, and 34 is improper because the signaling was fully disclosed in the application as originally filed.
- B. The 35 U.S.C. § 112, second paragraph rejection of claims 1, 12, 23, and 34 is improper because the signaling is particularly and distinctly described in the application as filed.

- C. The 35 U.S.C. § 102(b) rejection of claims 1-3, 8, 9, 34-36, 41, and 43 is improper because a *prima facie* case for anticipation has not been established, for the following reasons: (1) the cited Lumsden reference does not teach or suggest every element of the claims, and (2) the Examiner incorrectly characterizes the Lumsden reference.
- D. The 35 U.S.C. § 103(a) rejection of claims 4-7, 10-12, 15-18, 21-23, 26-29, 32, 33, 37-40, 42, and 44 is improper because a *prima facie* case for obviousness has not been established, for the following reasons: (1) the cited prior art combination does not teach or suggest every element of the claims, and (2) the Examiner incorrectly characterizes the Lumsden reference.

# II. Summary of the requirements for prima facie indefiniteness, anticipation, and obviousness.

The Court of Appeals for the Federal Circuit has held that "The test for determining compliance with the written description requirement is whether the disclosure of the application as originally filed reasonably conveys to the artisan that the inventor had possession at that time of the later claimed subject matter, rather than the presence or absence of literal support in the specification for the claim language." In re Kaslow, 707 F.2d 1366, 217 USPQ 1089 (Fed. Cir. 1983) quoting In re Edwards, 558 [568] F.2d 1349, 196 USPQ 465 (CCPA 1978); In re Herschler, 591 F.2d 693, 200 USPQ 711 (CCPA 1979).

The Court of Appeals for the Federal Circuit has held that "The operative standard for determining whether this [definiteness] requirement has been met is 'whether those skilled in the art would understand what is claimed when the claim is read in light of the specification.'" Beachcombers, Int'l, Inc. v. WildeWood Creative Products, Inc., 31 F.3d 1154, 31 USPQ2d 1653 (Fed. Cir. 1994).

The all elements rule for anticipation is well established over a long series of case law. The all elements rule states that for anticipation to exist, a single anticipating prior art reference must include all elements of a claim. Hybritech Inc. v. Monoclonal Antibodies, Inc., 802 F.2d 1367, 231 USPQ 81 (Fed. Cir. 1986). "When the defense of lack of novelty is based on a printed publication that is asserted to describe the same invention, a finding of anticipation requires that the publication describe all of the elements of the claims, arranged as in the patented device." C.R. Bard, Inc. v. M3 Systems, Inc., 157 F.3d 1340, 48 USPQ2d 1225 (Fed. Cir. 1998), rehearing denied & suggestion for rehearing en banc declined, 161 F.3d 1380 (Fed. Cir. 1998).

Anticipation under Section 102 can be found only if a reference shows exactly what is claimed; where there are differences between the reference disclosures and the claim, a rejection must be based on obviousness under Section 103. *Titanium Metals Corp. v. Banner*, 778 F.2d 775, 227 USPQ 773 (Fed. Cir. 1985).

To establish a prima facie case of obviousness, the prior art reference (or references when combined) must teach or suggest all the claim limitations. MPEP 2142. *In re Royka*, 490 F.2d 981, 180 USPQ 580 (CCPA 1974). If an independent claim is nonobvious under 35 U.S.C. 103, then any claim dependent therefrom is nonobvious. *In re Fine*, 837 F.2d 1071, 5 USPQ2d 1596 (Fed. Cir. 1988).

# III. Discussion of the § 112, first paragraph rejection of claims 1, 12, 23, and 34.

Independent claims 1, 12, 23, and 34 have been finally rejected under 35 U.S.C. § 112, first paragraph, as failing to comply with the written description requirement. The Office Action asserts that the limitation that the host signals a tamper condition in the signal conditioning circuitry was not disclosed in the patent application. Applicants contend that the signaling was fully disclosed and point to FIGS. 1 and 3 and the accompanying text at page 6, lines 6-30 and page 7, line 25 through page 8, line 24. Applicants also point to page 1, lines 4-10.

# IV. Discussion of the § 112, second paragraph rejection of claims 1, 12, 23, and 34.

Independent claims 1, 12, 23, and 34 have been finally rejected under 35 U.S.C. § 112, second paragraph, as being indefinite for failing to particularly point out and distinctly claim the subject matter which the Applicants regard as the invention. The Office Action asserts that it is unclear how the host will "signal" a tampering condition "in the signal conditioning circuitry". This is a straightforward process known in the art, wherein the host system receives the authentication information, performs the comparison, and signals the error condition. The error condition indicates tampering in the signal conditioning circuitry. The error condition signal can be generated and held in the host system in order to signal an error condition in the signal conditioning circuitry. Error condition signals generated by a host system can be available for inspection by an operator or technician, for example. In addition, the error condition can be transmitted to other devices.

# V. Discussion of the § 102(b) anticipation rejection of claims 1-3, 8, 9, 34-36, 41, and 43.

Claims 1-3, 8, 9, 34-36, 41, and 43 have been finally rejected under 35 U.S.C. § 102(b) as being anticipated by U.S. Patent 4,388,690 (Lumsden).

Independent claims 1, 12, 23, and 34 each require periodically transmitting a request for authentication information from a host system to a signal conditioner, receiving the authentication information from the signal conditioning circuitry in response to the request, comparing the authentication information with initial information, and signaling a tampering condition in the signal conditioning circuitry in response to the authentication information not being equal to the initial information. Advantageously, the invention provides a system for detecting tampering with a signal conditioning circuitry that is remote from a host system.

The authentication information can include identification, calibration, and configuration data (see page 3, lines 16-20). The initial information comprises authentication information received from the signal conditioning circuitry in response to detecting the signal conditioning circuitry being connected to the host system (see page 4, lines 20-26). The initial information

therefore can comprise an initial version of the authentication information (i.e., it is the first set of authentication information received from the signal conditioning circuitry).

Lumsden discloses a system for reporting electrical power usage from a residential power meter to a central computer (see abstract). Lumsden provides a transponder that acts as a simple storage device for utility data. The transponder receives data from a data source and stores it until such data is requested through an external instruction (see col. 3, lines 34-59). Lumsden discloses that the central computer sends commands to these residential power meters over telephone lines (see col. 2, lines 56-59). The commands can specify that a particular meter report its stored power consumption data (see col. 2, lines 21-24). Lumsden also discloses requesting information concerning a load of a particular user and subsequent actions to alleviate excessive loads to that user (see col. 4, lines 44-59). Multiple meters in Lumsden can communicate over a single telephone line. Therefore, a particular power meter is identified by a unique code stored in that meter (see col. 2, lines 48-56), i.e., a message is broadcast by the central computer, but only the transponder specified by an ID code in the broadcast message receives and acts on the message.

Lumsden does not teach or suggest signaling an error condition that indicates tampering in the signal conditioning circuitry, with the signaling being in response to the authentication information not being equal to the initial information. The Office Action implies that Lumsden performs some manner of tampering detection by asserting that the "central computer can send a load shed command/alarm/tampering condition to the transponder." This is incorrect.

Lumsden does not disclose any comparison of received authentication information to initial information. Lumsden does not disclose any signaling of an error condition if the authentication information is not equal to the initial information. Lumsden discloses two comparison operations. First, Lumsden discloses that the central computer monitors electrical power usage measurements and compares these electrical power usage measurements to predetermined electrical power consumption levels (see col. 1, lines 35-38). Second, Lumsden compares transponder identification codes to known codes in order to regulate electronic communications and identify message transmitters and message recipients. Therefore, the Office Action improperly implies tampering detection in Lumsden when all Lumsden discloses is

comparing customer electrical power usage to a predetermined level in order for the central computer of Lumsden to perform load shedding actions.

The Examiner appears to be equating the initial authentication information of the invention with the electrical power consumption threshold of Lumsden. This is incorrect. The threshold of Lumsden would have to be an electrical power consumption level, and not authentication information as given in the present application.

Lumsden does not teach or suggest requesting or receiving authentication information as in the present application. While Lumsden includes a transponder identification code in communication messages, the transponder identification code does not comprise authentication data as in the present application. In addition, Lumsden discloses that the transponder identification code is <a href="hard-wired">hard-wired</a> into the transponder (see col. 2, lines 48-50). The term "hard-wired" connotes physical provision or formation of digital data, wherein the digital data is not programmed into a memory but is provided as a digital voltage level and therefore cannot be tampered with and cannot be changed. The hard-wired transponder identification code of Lumsden cannot be reprogrammed and therefore cannot be used for detecting tampering.

Independent claims 1, 12, 23, and 34 therefore include features that are neither taught nor suggested by Lumsden. It is respectfully submitted that a *prima facie* case of anticipation has not been established. As a result, independent claims 1, 12, 23, and 34 are allowable as written.

Claims 2-3, 8-9, 35-36, 41, and 43 are dependent on claims 1, 12, 23, and 34. If an independent claim is patentable under 35 U.S.C. 102, then any claim dependent therefrom is also patentable, as a dependent claim includes all of the elements and limitations of the corresponding independent claim.

VI. Discussion of the § 103(a) obviousness rejections of claims 4-7, 10-12, 15-18, 21-23, 26-29, 32, 33, 37-40, 42, and 44.

Dependent claims 5 and 38 have been finally rejected under 35 U.S.C. § 103(a) as being obvious over Lumsden in view of U.S. Patent 5,014,038 (Leigh-Monstevens et al.). Dependent

claims 5 and 38 depend from independent claims 1 and 34 and therefore incorporate the limitations of the independent claim. Consequently, claims 5 and 38 are patentable for the reasons previously discussed. To establish a prima facie case of obviousness, the prior art reference (or references when combined) must teach or suggest all the claim limitations. MPEP 2142. *In re Royka*, 490 F.2d 981, 180 USPQ 580 (CCPA 1974). If an independent claim is nonobvious under 35 U.S.C. 103, then any claim dependent therefrom is nonobvious. *In re Fine*, 837 F.2d 1071, 5 USPQ2d 1596 (Fed. Cir. 1988).

Dependent claims 4, 10, 11, 37, 42, and 44 have been finally rejected under 35 U.S.C. § 103(a) as being obvious over Lumsden in view of U.S. Patent 6,289,456 (Kuo et al.). Dependent claims 4, 10, 11, 37, 42, and 44 depend from independent claims 1 and 35 and therefore incorporate the limitations of the independent claim. Consequently, claims 4, 10, 11, 37, 42, and 44 are patentable for the reasons previously discussed.

Dependent claims 6 and 7 have been finally rejected under 35 U.S.C. § 103(a) as being obvious over Lumsden in view of U.S. Patent 4,933,668 (Oyer). Dependent claims 6 and 7 depend from independent claim 1 and therefore incorporate the limitations of the independent claim. Consequently, claims 6 and 7 are patentable for the reasons previously discussed.

Dependent claims 39 and 40 have been finally rejected under 35 U.S.C. § 103(a) as being obvious over Lumsden in view of Leigh-Monstevens and Oyer. Dependent claims 39 and 40 depend from independent claim 34 and therefore incorporate the limitations of the independent claim. Consequently, claims 39 and 40 are patentable for the reasons previously discussed.

Claims 12-14, 19-20, 23-25, 30, and 31 have been finally rejected under 35 U.S.C. § 103(a) as being obvious over Lumsden in view of U.S. Patent 6,526,839 (Barger et al.) and further in view of U.S. Patent 3,355,944 (Sipin). The rejection reiterates the assertion that Lumsden discloses a meter system as in the present invention, but lacks disclosure of meter electronics for a Coriolis flowmeter. This is incorrect. As previously discussed, Lumsden does not disclose comparing an

authentication information to an initial information and does not disclose signaling an error condition. Therefore, the combination of Lumsden, Barger, and Sipin does not provide a system that detects an error. The combination of Lumsden, Barger, and Sipin does not render obvious independent claims 12 and 23. Claims 13-14, 19-20, 24-25, 30, and 31 all depend from independent claims 12 and 23 and therefore incorporate the limitations of the independent claims. Consequently, claims 13-14, 19-20, 24-25, 30, and 31 are patentable as discussed above. To establish a prima facie case of obviousness, the prior art reference (or references when combined) must teach or suggest all the claim limitations. MPEP 2142. *In re Royka*, 490 F.2d 981, 180 USPQ 580 (CCPA 1974). If an independent claim is nonobvious under 35 U.S.C. 103, then any claim dependent therefrom is nonobvious. *In re Fine*, 837 F.2d 1071, 5 USPQ2d 1596 (Fed. Cir. 1988).

Dependent claims 16 and 27 have been finally rejected under 35 U.S.C. § 103(a) as being obvious over Lumsden in view of Barger, Sipin, and Leigh-Monstevens. Dependent claims 16 and 27 depend from independent claims 12 and 21 and therefore incorporate the limitations of the independent claim. Consequently, claims 16 and 27 are patentable for the reasons previously discussed.

Claims 15, 21, 22, 26, 32, and 33 have been finally rejected under 35 U.S.C. § 103(a) as being obvious over Lumsden in view of Barger, Sipin, and Kuo. As previously discussed, Lumsden does not disclose comparing an authentication information to an initial information and does not disclose signaling an error condition. Therefore, the combination of Lumsden, Barger, Sipin, and Kuo does not provide a system that detects an error. The combination of Lumsden, Barger, Sipin, and Kuo does not render obvious independent claim 21. Dependent claims 15, 22, 26, 32, and 33 depend from independent claims 12 and 21 and therefore incorporate the limitations of the independent claim. Consequently, claims 15, 21, 22, 26, 32, and 33 are patentable for the reasons previously discussed.

Dependent claims 17 and 18 have been finally rejected under 35 U.S.C. § 103(a) as being obvious over Lumsden in view of Barger, Sipin, Leigh-Monstevens, and Oyer. Dependent claims 17

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and 18 depend from independent claim 12 and therefore incorporate the limitations of the independent claim. Consequently, claims 17 and 18 are patentable for the reasons previously discussed.

Dependent claims 28 and 29 have been finally rejected under 35 U.S.C. § 103(a) as being obvious over Lumsden in view of Barger in view of Sipin and further in view of Oyer. Dependent claims 28 and 29 depend from independent claim 21 and therefore incorporate the limitations of the independent claim. Consequently, claims 28 and 29 are patentable for the reasons previously discussed.

#### Conclusion

In view of the above, applicant respectfully request that the examiner's rejection of claims 1-44 be reversed.

Respectfully submitted,

Date: 11/17/06

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#### **CLAIMS APPENDIX**

- 1. A system for preventing-tampering with signal conditioning circuitry in electronics that determines a parameter from signals received from sensors, said system comprising:
- a host system that receives data from and sends data to said signal conditioning circuitry;
  - a processing unit in said host system;
  - a memory connected to said processing unit;

instructions for directing said processing unit in said host system to periodically transmit a request for authentication information from said signal conditioning circuitry, receive said authentication information from said signal conditioning circuitry in response to said request, comparing said authentication information with initial information, and signal a tampering condition in the signal conditioning circuitry in response to said authentication information not being equal to said initial information; and

- a media readable by said processing unit for storing said instructions.
- The system of claim 1 wherein said authentication information includes a unique identification for said signal conditioning circuitry.
- 3. The system of claim 1 wherein said authentication information includes calibration data for said signal conditioning circuitry.
- 4. The system of claim 1 wherein said instructions for directing said processing unit in said host system includes:
  - instructions for directing said processing unit in said host system to store a record of said authentication information received from said signal conditioning circuitry in said memory.
  - 5. The system of claim 1 wherein said instructions for directing said processing

unit in said host system includes:

instructions for directing said processing unit in said host system to terminate operation of said system.

- 6. The system of claim 1 wherein said instructions include: instructions for directing said processing unit to obtain said initial information.
- 7. The system of claim 6 wherein said instructions for directing said processing unit to obtain said initial information includes:

instructions for directing said processing unit in said host system to: transmit a initialize request to said signal conditioning circuitry for said authentication information in response to detecting said signal conditioning circuitry being connected to said host system,

receive said authentication information from said signal conditioning circuitry, and store said authentication information as said initial information in said memory.

8. The system of claim 1 wherein said instructions for directing said processing unit in said host system includes:

instructions for directing said processing unit in said host system to compare said authentication information with initial information, and perform a programmed function in response to said authentication information not being equal to said initial information.

- 9. The system of claim 1 further comprising:
- a processing unit in said signal conditioning circuitry;
- a memory connected in said signal conditioning circuitry that stores said authentication information;

instructions for directing said processing unit in said signal conditioning circuitry to receive said request for said authentication information, read said authentication information from said memory, and transmit said authentication information to said host system; and

a media readable by said processing unit in said signal conditioning circuitry for storing said instructions.

- 10. The system of claim 4 wherein said record includes a time stamp indicating when said authentication information is received.
- 11. The system of claim 4 wherein said record includes said authentication information received from said signal conditioning circuitry.

12. Meter electronics for a Coriolis flowmeter that detects possible tampering comprising:

a host system that receives parameter signals indicating properties of a material flowing through said Coriolis flowmeter from said signal conditioner and supplies power to signal conditioner;

a signal conditioner remote from said host system and communicatively connected to said host system wherein said signal conditioner receives pick-off signals from sensors affixed to said Coriolis flowmeter and generates said parameter signals from said pick-off signals;

a processing unit in said host system;

a memory connected to said processing unit in said host system;

instructions for directing said processing unit in said host system to:

periodically transmit a request for authentication information to said signal conditioner.

receive said authentication information from said signal conditioner in response to said request,

compare said authentication information with initial information, and signal a tampering condition in the signal conditioning circuitry in response to said authentication information not being equal to said initial information; and

- a media readable by said processing unit for storing said instructions.
- 13. The meter electronics of claim 12 wherein said authentication information includes a unique identification for said signal conditioner.
- 14. The meter electronics of claim 12 wherein said authentication information includes calibration data for said signal conditioner.

15. The meter electronics of claim 12 wherein said instructions for directing said processing unit in said host system includes:

instructions for storing a record of said authentication information in said memory.

16. The meter electronics if claim 12 wherein said instructions for directing said processing unit in said host system includes;

instructions for directing said processing unit in said host system to terminate operation of said system.

- 17. The meter electronics of claim 16 wherein said instructions include: instructions for directing said processing unit to obtain said initial information.
- 18. The meter electronics of claim 17 wherein said instructions for directing said processing unit to obtain said initial information includes:

instructions for directing said processing unit in said host system to:

transmit a initialize request to said signal conditioning circuitry for said authentication information in response to detecting said signal conditioning circuitry being connected to said host system.

receive said authentication information from said signal conditioning circuitry, and

store said authentication information as said initial information in said memory.

19. The meter electronics of claim 12 wherein said instructions for directing said processing unit in said host system includes:

instructions for directing said processing unit in said host system to:

compare said authentication information with initial information, and perform a programed function in response to said authentication information not being equal to said initial information.

- 20. The meter electronics of claim 12 further comprising:
- a processing unit in said signal conditioner;
- a memory connected in said signal conditioner that stores said authentication information:

instructions for directing said processing unit in said signal conditioner to receive said request for said authentication information, read said authentication information from said memory, and transmit said authentication information to said host system; and

a media readable by said processing unit in said signal conditioner for storing said instructions.

- 21. The meter electronics of claim 15 wherein said record includes a time stamp indicating when said authentication information is received.
- 22. The meter electronics of claim 15 wherein said record includes said authentication information received from said signal conditioner.

23. A Coriolis flowmeter having tamper resistant meter electronics comprising: at least one flow tube through which material flows;

a driver affixed to said at least one flow tube that vibrates said at least one flow tube as said material flows through said at least one flow tube;

sensors affixed to at least two different points of said at least one flow tube to generate sensor signals indicating vibrations of said at least one flow tube at said at least two different points;

a signal conditioner that transmits a drive signal to said driver, receives said sensors signals, and generates parameter signals from said sensors signals wherein said parameter signals indicate a property of said material;

a host system that provides power to said signal conditioner and receives said parameter signals from said signal conditioner;

a processing unit in said host system;

a memory connected to said processing unit in said host system;

instructions for directing said processing unit in said host system to:

periodically transmit a request for authentication information to said signal conditioner.

receive said authentication information from said signal conditioner in response to said request,

compare said authentication information with initial information, and signal a tampering condition in the signal conditioning circuitry in response to said authentication information not being equal to said initial information; and

a media readable by said processing unit for storing said instructions.

- 24. The Coriolis flowmeter of claim 23 wherein said authentication information includes a unique identification for said signal conditioner.
- 25. The Coriolis flowmeter of claim 23 wherein said authentication information includes calibration data for said signal conditioner.

- 26. The Coriolis flowmeter of claim 23 wherein said instruction for directing said processing unit in said host system includes: instructions for storing a record of said authentication information in said memory.
- 27. The Coriolis flowmeter of claim 23 wherein said instructions for directing said processing unit in said host system includes:

instructions for directing said processing unit in said host system to terminate operation of said Coriolis flowmeter in response to said signal.

28. The Coriolis flowmeter of claim 27 wherein said instructions for directing said host system include:

instructions for directing said processing unit to obtain said initial information.

29. The Coriolis flowmeter of claim 28 wherein said instructions for directing said processing unit to obtain said initial information includes:

instructions for directing said processing unit in said host system to:

transmit a initialize request to said signal conditioning circuitry for said authentication information in response to detecting said signal conditioning circuitry being connected to said host system,

receive said authentication information from said signal conditioning circuitry, and

store said authentication information as said initial information in said memory.

30. The Coriolis flowmeter of claim 23 wherein said instructions for directing said processing unit in said host system includes:

instructions for directing said processing unit in said host system to:

compare said authentication information with initial information, and
perform a programmed function in response to said authentication information
not being equal to said initial information.

- 31. The Coriolis flowmeter of claim 23 further comprising:
- a processing unit in said signal conditioner;
- a memory connected in said signal conditioner that stores said authentication information;

instructions for directing said processing unit in said signal conditioner to receive said request for said authentication information, read said authentication information from said memory, and transmit said authentication information to said host system; and

a media readable by said processing unit in said signal conditioner for storing said instructions.

- 32. The Coriolis flowmeter of claim 26 wherein said record includes a time stamp indicating when said authentication information is received.
- 33. The Coriolis flowmeter of claim 26 wherein said record includes said authentication information received from said signal conditioner.

34. A method for preventing tampering with signal conditioning circuitry in a system comprising the steps of:

periodically transmitting a request for authentication information from a host system to said signal conditioner;

receiving said authentication information from said signal conditioning circuitry in response to said request;

comparing said authentication information with Initial Information; and signaling a tampering condition in the signal conditioning circuitry in response to said authentication information not being equal to said initial information.

- 35. The method of claim 34 wherein said authentication information includes a unique identification for said signal conditioning circuitry.
- 36. The method of claim 34 wherein said authentication information includes calibration data for said signal conditioner.
- 37. The method of claim 34 further comprising the steps of: storing a record of said authentication information in a memory in said host system.
  - 38. The method of claim 34 further comprising the steps of: terminating operation of said system in response to said signal error.
  - 39. The method of claim 38 further comprises the step of: obtaining said initial information.

40. The method of claim 39 wherein said step of obtaining said initial information comprises the steps of:

transmitting a initialize request to said signal conditioning circuitry for said authentication information in response to detecting said signal conditioning circuitry being connected to said host system;

receiving said authentication information from said signal conditioning circuitry; and

storing said authentication information as said initial information in said memory.

41. The method of claim 34 further comprising the steps of: receiving said request for said authentication information in said signal conditioning circuitry;

reading said authentication information from a memory in said signal conditioning circuitry; and

transmitting said authentication information to said host system.

- 42. The method of claim 37 wherein said record includes a time stamp indicating when said authentication information is received.
- 43. The method of claim 34 further comprises the steps of: comparing said authentication information with initial information stored in said host system; and

performing a programmed function in response to said authentication information not being equal to said initial information.

44. The method of claim 37 wherein said record includes said authentication information received from said signal conditioner.

## **EVIDENCE APPENDIX**

None

## RELATED PROCEEDINGS APPENDIX

None